

# Notice of Allowability

## Application No.

09/855,329

## Examiner

Ganapathy Krishnan

## Applicant(s)

WOO ET AL.

## Art Unit

1623

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--

All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to amendment of 9/7/2004.
2. ☒ The allowed claim(s) is/are 1,4,5,9-17,20,21,25 and 26.
3. ☐ The drawings filed on \_\_\_\_\_ are accepted by the Examiner.
4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) ☐ All b) ☐ Some\* c) ☐ None of the:
    1. ☐ Certified copies of the priority documents have been received.
    2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\* Certified copies not received: \_\_\_\_\_.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.  
**THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.**

5. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
6. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
  - (a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
    - 1) ☐ hereto or 2) ☐ to Paper No./Mail Date \_\_\_\_\_.
  - (b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date \_\_\_\_\_.

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
7. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

1. ☐ Notice of References Cited (PTO-892)
2. ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
3. ☐ Information Disclosure Statements (PTO-1449 or PTO/SB/08),  
Paper No./Mail Date \_\_\_\_\_
4. ☐ Examiner's Comment Regarding Requirement for Deposit  
of Biological Material

5. ☐ Notice of Informal Patent Application (PTO-152)
6. ☒ Interview Summary (PTO-413),  
Paper No./Mail Date \_\_\_\_\_
7. ☒ Examiner's Amendment/Comment
8. ☐ Examiner's Statement of Reasons for Allowance
9. ☐ Other \_\_\_\_\_

JAMES O. WILSON  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 1600

### EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Mr. Brent Peebles on 11/05/ 2004.

In the Amendments to the Claims of 9/07/2004,

In claim 5, line 8, the terms "a low degree of substitution alkylated-beta-cyclodextrin, and mixtures thereof" has been replaced by the terms "an alkylated-beta-cyclodextrin having an average degree of substitution of less than about 6.0, and mixtures thereof."

In claim 11, line 6, the terms ", and further, wherein said cyclodextrin derivative is selected from the group consisting of methylated cyclodextrins, ethylated cyclodextrins, cyclodextrins with hydroxyalkyl groups, branched cyclodextrins, cationic cyclodextrins, anionic cyclodextrins, amphoteric cyclodextrins and cyclodextrins wherein at least one glucopyranose unit has a 3,6-anhydro-cyclomalto structure." Has been inserted after the terms "cyclodextrin derivative".


In claim 12, line 3, the terms "wherein said cyclodextrin is selected from the group consisting of methylated cyclodextrins, ethylated cyclodextrins, cyclodextrins with hydroxyalkyl groups, branched cyclodextrins, cationic cyclodextrins, anionic cyclodextrins, amphoteric cyclodextrins and cyclodextrins wherein at least one

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glucopyranose unit has a 3,6-anhydro-cyclomalto structure” has been inserted after the term “substitution cyclodextrin”.

In claim 21, lines 8-9, the terms “ low degree of substitution alkylated-beta-cyclodextrin, and mixtures thereof” has been replaced by the terms “an alkylated-beta-cyclodextrin having an average degree of substitution of less than about 6.0, and mixtures thereof.”

Any inquiry concerning this communication should be directed to James O. Wilson,  
Supervisory Patent Examiner in Art Unit 1623 at 571-272-0661.



JAMES O. WILSON  
SUPERVISORY PATENT EXAMINER  
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